

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd



BSEC/Enforcement/4090/2025/708
Dated: October 11, 2026

By Special Messenger/Courier

Mrs. Nazma Begum
BO ID# (1201640020799716)
Address: South Bhadarty, PO: Kaligonj, Upozilla: Kaligonj, District: Gazipur.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance with all securities-related laws in the future

This refers to the Commission's show-cause cum hearing notice no. BSEC/Enforcement/4090/2025/50 dated January 18, 2026, based on which the hearing was conducted on February 18, 2026.

The Commission, being dissatisfied and considering your degree of non-compliance as well as subsequent submission of required documents as proof of compliance vide letter dated 26.1.2026, has decided to warn you to be careful in the future and also suggested to be cautious about maintaining due diligence related to securities-related laws;

Any divergence from securities-related laws and failure of lawful responsibilities will be taken seriously, and you will be brought under appropriate enforcement action.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

By order of the Bangladesh Securities and Exchange Commission,


11.10.2026

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Email: mahmudulhasan@sec.gov.bd

Copy for information:

PS to Chairman, BSEC.
PO to Commissioner (All), BSEC.
PO to Executive Director (Enf.), BSEC.
PO to Executive Director (MIAD), BSEC.
PO to Executive Director (ICTD), BSEC.
Director (Enf.), BSEC.